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Substitute for form 1448A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

4

Complete if Known

Application Number 10/090,681
Filing Date 03/05/02
First Named Inventor Gunter
Art Unit 2131
Examiner Name
Attorney Docket Number 53087-5010

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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
000	A	US-6,216,116		04/10/01	Barkan et al.	
	B	US-5,659,616		08/19/97	Sudia	
	C	US-5,903,882		05/11/99	Asay et al.	
	D	US-5,943,423		08/24/99	Muffic	
	E	US-5,978,484		11/02/99	Apperson et al.	
	F	US-5,960,085		09/28/99	De la Huerqa	
	G	US-6,003,014		12/14/99	Lee et al.	
	H	US-5,901,284		05/04/99	Hamdy-Swink	
	I	US-5,872,848		02/16/99	Romney et al.	
	J	US-5,784,403		07/21/98	Chen et al.	
	K	US-5,761,309		06/02/98	Ohashi et al.	
	L	US-5,757,920		05/25/98	MISRA et al.	
	M	US-5,754,654		05/19/98	Hirova et al.	
	N	US-5,694,471		12/02/97	Chen et al.	
	O	US-5,412,717		05/02/95	Fischer	
	P	US-5,339,403		08/16/94	Farker	
	Q	US-5,261,002		11/09/93	Perlman et al.	
	R	US-5,315,657		05/24/94	Abadi et al.	
	S	US-5,214,702		05/25/93	Fischer	
	T	US-4,868,877		09/19/89	Fischer	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ - Kind Code ⁵ (if known)				

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Date

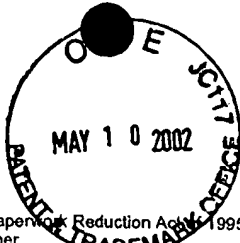
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/290,681
		Filing Date	03/05/02
		First Named Inventor	Gunter
		Group Art Unit	2131
		Examiner Name	
		Attorney Docket Number	53087-5010
Sheet	2	of	4

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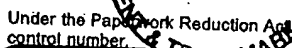
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ²	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
OO	U	Blaze et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.	
	V	Gunter et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000 Vol. 3, pp. 1609-1640.	
	W	Gunter et al., "GENERALIZED CERTIFICATE REVOLUTION", ACM Symposium on Principles of Programming Languages, January 2000, pp. 1-14.	
	X	Kakkar et al., "CERTIFICATE DISTRIBUTION WITH LOCAL Autonomy", International Workshop on Active Networks, October 2000, pp. 1-19.	
	Y	Blaze et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23.	
	Z	Herzberg et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.	
	AA	Rivest, et al., "SDSI - A SIMPLE DISTRIBUTION SECURITY INFRASTRUCTURE", APR 11 30, 1996, pp. 1-37.	
BB	C. ELLISON, "SPKI REQUIREMENTS", The Internet Society, September 1999, pp. 1-14		

Examiner Signature		Date Considered	3/5/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	3	of	4
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Complete, if Known

Application Number	10/090 681
Filing Date	03/05/02
First Named Inventor	Gunter
Art Unit	2131
Examiner Name	
Attorney Docket Number	53087-5011

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Jenise Jael

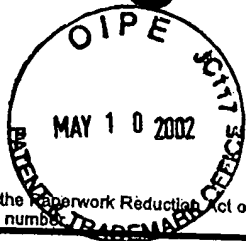
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1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 5 Kind of document by the English language Translation is attached. 6 Applicant is to place a check mark here if

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/290/661
		Filing Date	03/05/02
		First Named Inventor	Gunter
		Group Art Unit	2131
		Examiner Name	
Sheet 4 of 4	Attorney Docket Number	53087-5010	

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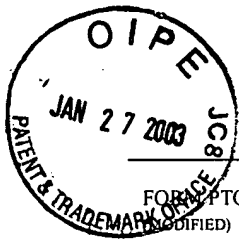
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
JJ		Ellison et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.	
KK		Muller, "BLUE TOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33	
LL		Mehtaia, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20	
MM		Maywah, "AN IMPLEMENT OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.	
NN		DAN BLACKMAN, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, MAY 15, 1998, pp. 170,171	
OO		Ellison, http://world.std.com/~cme/ , July 21, 2001, pp. 1-3.	
PP		IEEE, www.ieee.org , 13 pages	
QQ		Infrared Data Association, www.irda.org , 93 pages	
RR		Microsoft .Net Passport Review Guide, "THE WHY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", Published: March 2002, pp. 1-50.	
SS		NET PASSPORT OVERVIEW: //13/ http://www.microsoft.com/my services/passport/overview.asp , pp. 1-7; //21/ http://www.microsoft.com/my services/passport/overview.asp , p. 1	

Examiner Signature	<i>Genie Jack</i>	Date Considered	3/5/04
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
053087-5010SERIAL NO.
10/090,681INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)APPLICANT
Gunter et al.FILING DATE
March 5, 2002

GROUP

(37 C.F.R. § 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
99	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
99	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

99	M		Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

EXAMINER

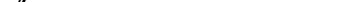
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